



PURPLE GROUP

# FAIS DISCLOSURE CERTIFICATE

Purple Group



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## FAIS DISCLOSURE CERTIFICATE

### 1. INTRODUCTION

1.1. In terms of the Financial Advisory and Intermediary Services Act 37 of 2002 and the subordinate legislation thereto (the “FAIS Act”), as amended from time to time, a financial services provider (“FSP”) is required to make certain disclosures to its prospective and existing clients in accordance with the provisions therein. This disclosure certificate is available at [www.purplegroup.co.za](http://www.purplegroup.co.za) at all times and includes information about us as the authorised FSP, the financial products and services for which we are licensed, the product suppliers of the financial products we offer to clients and conflicts of interest (actual, potential, or perceived). We are committed to rendering financial services with honesty, fairness, due care, and diligence, and to meeting the requirements of the FAIS Act. A copy of our FAIS licence certificate, including the conditions of the licence, is available on request by submitting a ticket via the EasyEquities support portal [here](#).

### 2. INFORMATION

Authorised FSP	
Authorised FSP full name	Purple Group Limited
FSP licence number	46315
FAIS approval date	6/10/2015
Company registration number	1998/013637/06
Physical business addresses	WeWork - Coworking & Office Space, 1F, 173 Oxford Road, Rosebank Johannesburg 2196
Postal address	WeWork - Coworking & Office Space, 1F, 173 Oxford Road, Rosebank Johannesburg 2196
Contact details	<b>Telephone:</b> 010 141 2101 <b>Support portal:</b> <a href="https://support.easyequities.co.za/support/tickets/new">https://support.easyequities.co.za/support/tickets/new</a> <b>Website:</b> <a href="https://www.easyequities.co.za/">https://www.easyequities.co.za/</a> Clients must please use the EasyEquities support portal for all queries.
Nature of business	Financial services
Compliance Officers	Victoria Zimba (approval number 7157) Address: Building 07, Stanford Office Park, 12 Bauhinia Street, Highveld Techno Park, Centurion, 0169 Telephone: 012 880 0577 Email: <a href="mailto:victoria@arc-solutions.global">victoria@arc-solutions.global</a>  Langelihle Nkabinde Address: WeWork - Coworking & Office Space, 1F, 173 Oxford Road, Rosebank Johannesburg 2196 Email: <a href="mailto:lnkabinde@purplegroup.co.za">lnkabinde@purplegroup.co.za</a>
Information Officer	Langelihle Nkabinde



	<p>Address: WeWork - Coworking &amp; Office Space, 1F, 173 Oxford Road, Rosebank Johannesburg 2196 Email: <a href="mailto:Inkabinde@purplegroup.co.za">Inkabinde@purplegroup.co.za</a> Email: <a href="mailto:Inkabinde@purplegroup.co.za">Inkabinde@purplegroup.co.za</a></p>
<b>Deputy Information Officer</b>	<p>Dingumuzi Ndhlovu Address: WeWork - Coworking &amp; Office Space, 1F, 173 Oxford Road, Rosebank Johannesburg 2196 Email: <a href="mailto:Dndhlovu@purplegroup.co.za">Dndhlovu@purplegroup.co.za</a></p>
<b>Complaints</b>	<p>Purple Group aims to treat all clients fairly. It has a complaints resolution process. The complaints policy and procedure is available on the website.</p>
<b>Ombud for FSPs</b>	<p>Telephone: +27 12 762 5000 or +27 12 470 9080 Fax: +27 86 764 1422 or +27 12 348 3447 Postal address: P O Box 74571, Lynnwood Ridge, 0040 Physical address: Sussex Office Park, Ground Floor, Block B, 473 Lynnwood Road, Lynnwood, 0081 Email: <a href="mailto:info@faisombud.co.za">info@faisombud.co.za</a> Website: <a href="http://www.faisombud.co.za">www.faisombud.co.za</a></p>

### 3. FINANCIAL PRODUCTS AND SERVICES

Category I	FSP		
Subcategory	Advice Automated	Advice Non-automated	Intermediary Service
Long-Term Insurance subcategory B1		x	x
Long-Term Insurance subcategory B2		x	x
Long-term Insurance subcategory B2-A		x	x
Long-term Insurance subcategory B1-A		x	x
Structured deposits		x	x
Participatory interest in a hedge fund		x	x
Long-Term Insurance subcategory C		x	x
Retail Pension Benefits		x	x
Pension Funds Benefits		x	x
Shares		x	x
Money market instruments		x	x
Debentures and securitised debt		x	x
Warrants, certificates and other instruments		x	x
Bonds		x	x
Derivative instruments		x	x



Participatory interests in a collective investment scheme		x	x
Short-term Deposits		x	x

#### 4. GENERAL LICENSING CONDITIONS

- 4.1 Purple Group must inform the registrar of the Financial Services Conduct Authority (the “Registrar”) in writing in an appropriate electronic format, within 15 days of any change in respect of business information of Purple Group as provided in form which is prescribed by the Registrar.
- 4.2 Purple Group must maintain the services of a key individual who comply with the fit and proper requirements as contemplated in the FAIS Act and ensure full compliance with the FAIS Act.
- 4.3 Purple Group must maintain a representative register and regularly update such register in the event of any changes to representatives or key individuals; and
- 4.4 Purple Group must not change the name of the business as reflected on the license, unless certain conditions are met and the Registrar has issued an appropriately amended license.
- 4.5 Purple Group must at all times ensure that any financial product which it renders or intend to render financial services of, qualifies as a financial product as contemplated in the FAIS Act, or is or will be lawfully issued by the relevant product supplier by virtue of an authority, approval or right granted to such supplier under a law as contemplated in the definition of product supplier in terms of the FAIS Act.

#### 5. PURPLE GROUP DECLARATION

Purple Group hereby confirms that it accepts responsibility for the activities performed by it and the activities performed by its representatives, within the representatives’ employment/mandate obligations, and that, to the best of our knowledge, our representatives meet the fit and proper requirements, including personal character qualities of honesty and integrity, good standing, competence, continuous professional development, operational ability, and financial soundness requirements.

#### 6. CLIENT DECLARATION

By using the Purple Group services and agreeing to the Purple Group Terms and Conditions, you hereby confirm that you have read and understood the contents of this disclosure certificate.