PURPLE GROUP
LIMITED

Purple Group

Regulatory & Licence Disclosure

Regulated Entities and Funds

Last updated: October 2026

Executive Directors: **Charles Savage**/CEO • **Gary van Dyk**/CFO
Non-Executive Directors • **Happy Ntshingila**/Chairman • **Craig Carter** • **Bonang Mohale** • **Mark Barnes** • **Arnold Forman** • **Paul Rutherford** • **William Bassie Maisela**
Company Secretary: CTSE Registry Services (Pty) Ltd

Website: www.purplegroup.co.za • **Telephone:** 010 141 2101 • **Address:** WeWork, Coworking Office Space, 173 Oxford Road, Rosebank, Gauteng, 2196 • **Postal Address:** PostNet Suite 247, Private Bag X1, Melrose Arch, 2196
Registration Number: 1998/013637/06. Formerly known as Purple Capital Limited. Purple Group Limited is an authorised financial services provider (FSP46315) in terms of the Financial Advisory and Intermediary Services Act, 2002

ABOUT THIS DISCLOSURE

This disclosure provides information about the regulated entities and funds within Purple Group Limited that are covered by this document, including their regulatory status and, for the Group's authorised financial services providers, the financial products and services for which they are authorised.

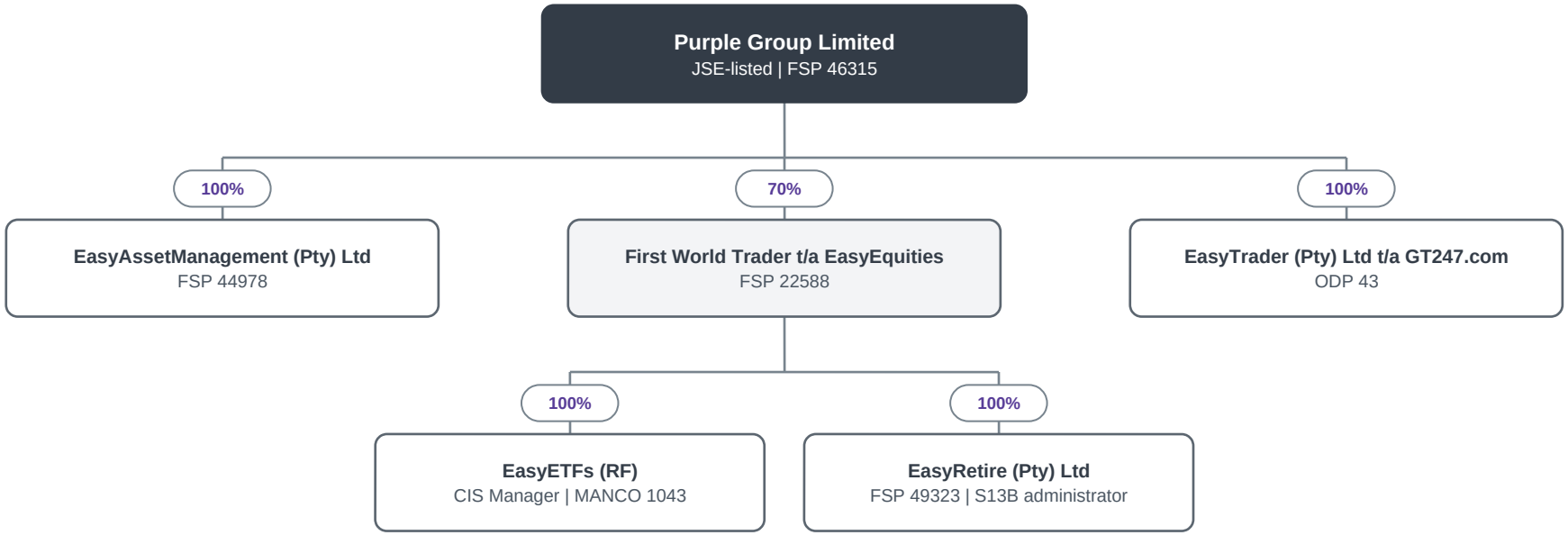
The entities operate under separate licences, registrations and regulatory approvals and remain responsible for complying with the requirements applicable to their respective activities.

This document should be read together with the terms, disclosures and other regulatory documentation applicable to the particular entity, product or service concerned.

GROUP STRUCTURE

Purple Group Limited is a JSE-listed financial services group comprising a number of regulated entities operating under separate regulatory licences, registrations and approvals. The entities included in this disclosure form part of the Purple Group structure, either directly or through First World Trader (Pty) Ltd t/a EasyEquities.

Each regulated entity remains responsible for the activities conducted under its respective licence, registration or approval.



GROUP INFORMATION AND COMMON REGULATORY DISCLOSURES

Group information / disclosure	Details
Business address	The principal business address for the Group entities covered by this disclosure is: WeWork, 1st Floor, 173 Oxford Road, Rosebank, Johannesburg, 2196
Compliance Officer	Compliance oversight is provided in accordance with the regulatory requirements applicable to each entity, with the Group Compliance function responsible for supporting and monitoring ongoing regulatory compliance. **VP Compliance:** Langelihle Nkabinde [7911] | **Compliance Officer:** Nadine Naidu [7569] | Email: compliance@purplegroup.co.za
Information Officer and privacy	Personal information is processed in accordance with applicable data protection legislation and the privacy notices of the relevant Group entities. The appointed Information Officer and Deputy Information Officer are responsible for overseeing compliance with the Protection of Personal Information Act (POPIA) and attending to related enquiries. **Information Officer:** Langelihle Nkabinde | **Deputy Information Officer:** Nadine Naidu | Email: compliance@purplegroup.co.za
Representatives	Each FSP accepts responsibility for financial services rendered by its representatives within the scope of their authority. Where applicable, representatives may render financial services under supervision. Details of representatives, their authorised financial products and services and their supervision status are available from the relevant FSP or may be verified through the FSCA.
Group relationships and conflicts of interest	The entities covered by this disclosure form part of Purple Group and may provide products or services to, or enter into arrangements with, other Group entities. These relationships and associated ownership or financial interests may give rise to actual or potential conflicts of interest. Conflicts are managed in accordance with the Group Conflict of Interest Management Policy and are disclosed to clients where required.
Professional indemnity and fidelity cover	Purple Group Limited maintains professional indemnity and fidelity insurance cover which extends to its subsidiaries. The level of cover maintained exceeds the minimum requirements prescribed under applicable legislation.
Product suppliers and intra-group relationships	A Group FSP may render financial services in relation to products supplied by another Group entity or by an external product supplier. The identity and role of the relevant product supplier, together with any material relationship or interest that is required to be disclosed, will be disclosed as applicable.

Group information / disclosure	Details
Remuneration and financial interests	Fees, charges, commission, remuneration and other financial interests associated with a financial service are disclosed as applicable to the relevant product or service. Financial interests and remuneration arrangements are subject to the applicable regulatory requirements and the Group's conflict-of-interest framework.
Recordkeeping	Records relating to regulated activities are retained for the periods required by applicable legislation and are maintained in a manner that allows them to be securely stored and retrieved when required.
Waiver of rights	No person acting on behalf of an FSP may request or induce a client to waive a right or benefit conferred by the FAIS Act or the General Code of Conduct where such a waiver is prohibited.
Incomplete documents	Clients will not be requested to sign a document relating to a financial service where information required to be completed in that document has been left blank.
Complaints	Complaints are handled by the relevant Group entity in accordance with the Group's complaints-management framework. Clients may submit complaints to complaints@purplegroup.co.za. Where a FAIS complaint remains unresolved following the internal complaints process, the client may, where applicable, refer the complaint to the FAIS Ombud. Further information on the complaints process and escalation procedures is available in the applicable complaints policy.
Product- and service-specific disclosures	This document provides Group-level regulatory and FAIS disclosure information. It does not replace the disclosures applicable to a particular product or service. Additional information, including applicable terms and conditions, mandates, minimum disclosure documents, risk disclosures, fees and costs and other prescribed information, is provided through the documentation relevant to the product or service concerned.

REGULATED ENTITIES AND RETIREMENT FUNDS

The table below identifies the regulated entities and retirement funds covered by this disclosure, together with their principal regulatory status and licence or registration details.

Regulated entity / fund	Trading / abbreviated name	Registration no.	Regulatory status	Licence / regulatory registration	Website
Purple Group Limited	Purple Group	1998/013637/06	Authorised Financial Services Provider	FSP 46315	purplegroup.co.za
First World Trader (Pty) Ltd	EasyEquities	1999/021265/07	Authorised Financial Services Provider | Over-the-counter derivatives provider	FSP 22588 | ODP 44	easyequities.co.za
EasyAssetManagement (Pty) Ltd	EasyAssetManagement / EAM	2010/019682/07	Authorised Financial Services Provider	FSP 44978	assetmanagement.easyequities.co.za
Retirement Investments and Savings for Everyone (Pty) Ltd	EasyRetire	2010/022492/07	Authorised Financial Services Provider | approved Pension Funds Act section 13B administrator	FSP 49323 | s13B 24/789	retire.easyequities.co.za
EasyTrader (Pty) Ltd t/a GT247.com	GT247	2017/190488/07	Licensed over-the-counter derivatives provider; juristic representative of Purple Group Limited	ODP 43 | juristic representative of FSP 46315	gt247.com
EasyETFs (RF) (Pty) Ltd	EasyETFs	2013/078096/07	Manager of a collective investment scheme in securities	MANCO 1043	etfs.easyequities.co.za
EasyEquities Retirement Annuity Fund	EasyEquities RA Fund	N/A	Registered retirement annuity fund	12/8/38189	retire.easyequities.co.za
EasyEquities Preservation Pension Fund	EasyEquities Preservation Pension Fund	N/A	Registered preservation pension fund	12/8/38203	retire.easyequities.co.za
EasyEquities Preservation Provident Fund	EasyEquities Preservation Provident Fund	N/A	Registered preservation provident fund	12/8/38204	retire.easyequities.co.za
EasyUmbrella Fund	EUF	N/A	Registered umbrella retirement fund	12/8/38201	retire.easyequities.co.za

Key Individuals: Details of the approved Key Individuals for each FSP are available from the relevant FSP and may also be verified through the FSCA.

CONSOLIDATED FAIS AUTHORISED FINANCIAL PRODUCTS AND SERVICES

The matrix below sets out the FAIS financial products and services for which Purple Group Limited, First World Trader (Pty) Ltd, EasyAssetManagement (Pty) Ltd and Retirement Investments and Savings for Everyone (Pty) Ltd are authorised. An **X** indicates that the relevant authorisation is reflected on the applicable FSCA licence record.

Financial product / subcategory	Purple Group		FWT / EasyEquities						EasyAssetManagement		EasyRetire		
	Category I		Category I				Category II	Category III	Category II	Category IIA	Category I		Category II
	Advice Non-auto	Intermediary Other	Advice Automated	Advice Non-auto	Intermediary Scripted	Intermediary Other	Discretion-ary	Administra-tive	Discretion-ary	Hedge Fund FSP	Advice Non-auto	Intermediary Other	Discretion-ary
Long-Term Insurance subcategory A			X	X									
Short-Term Insurance Personal Lines			X	X		X							
Long-Term Insurance subcategory B1	X	X	X	X		X					X	X	
Long-term Insurance subcategory B2	X	X	X	X		X					X	X	
Long-term Insurance subcategory B2-A	X	X	X	X		X							
Long-term Insurance subcategory B1-A	X	X	X	X		X							
Short-Term Insurance Personal Lines A1			X	X		X							
Structured Deposits	X	X		X		X							
Participatory interest in a hedge fund	X	X		X		X	X		X	X			
Long-Term Insurance subcategory C	X	X	X	X		X					X	X	
Retail Pension Benefits	X	X	X	X		X					X	X	
Pension Funds Benefits	X	X	X	X		X					X	X	
Shares	X	X	X	X		X	X	X	X		X	X	X

Financial product / subcategory	Purple Group		FWT / EasyEquities						EasyAssetManagement		EasyRetire		
	Category I		Category I				Category II	Category III	Category II	Category IIA	Category I		Category II
	Advice Non-auto	Intermediary Other	Advice Automated	Advice Non-auto	Intermediary Scripted	Intermediary Other	Discretion-ary	Administra-tive	Discretion-ary	Hedge Fund FSP	Advice Non-auto	Intermediary Other	Discretion-ary
Money market instruments	X	X	X	X		X	X	X	X		X	X	X
Debentures and securitised debt	X	X	X	X		X	X	X	X		X	X	X
Warrants, certificates and other instruments	X	X	X	X		X	X	X	X		X	X	X
Bonds	X	X	X	X		X	X	X	X		X	X	X
Derivative instruments	X	X	X	X		X	X		X		X	X	X
Participatory interests in a collective investment scheme	X	X	X	X		X	X	X	X		X	X	X
Short-Term Deposits	X	X	X	X		X					X	X	
General Category IIA experience										X			

The service descriptions follow the terminology used in the applicable FSCA licence records. A blank cell means that the relevant product and service combination is not reflected as authorised on the record.

OTHER REGULATORY REGIMES

In addition to the FAIS authorisations set out above, certain entities and funds within the Group are subject to separate regulatory frameworks. The principal regulatory arrangements are summarised below.

Regulatory framework	Entity / fund	Regulatory position	Further information
Over-the-counter derivatives	EasyTrader (Pty) Ltd t/a GT247.com and First World Trader (Pty) Ltd t/a EasyEquities	Authorised to conduct activities as over-the-counter derivatives providers under the applicable Financial Markets Act framework. The relevant ODP numbers are set out in the Regulated Entities and Funds table above.	The ODP authorisations are separate from the FAIS authorisations reflected in this document. Product- and service-specific terms and disclosures apply to the relevant derivatives services.
Collective investment schemes	EasyETFs (RF) (Pty) Ltd	Approved manager of the EasyETFs Scheme, a collective investment scheme in securities registered under the Collective Investment Schemes Control Act, 2002 (CISCA).	Portfolio-specific information, including the investment objective and policy, risk profile, fees and charges and other prescribed disclosures, is contained in the relevant portfolio documentation and minimum disclosure document.
Retirement funds	EasyEquities Retirement Annuity Fund; EasyEquities Preservation Pension Fund; EasyEquities Preservation Provident Fund; EasyUmbrella Fund	The funds are registered under the Pension Funds Act, 1956. Each fund is a separate legal entity governed in accordance with its registered rules and applicable retirement-fund legislation.	Fund-specific information relating to membership, contributions, benefits, fees, investment options and other matters is contained in the applicable fund rules and member documentation.

FURTHER INFORMATION

Further information about any of the regulated entities, funds, financial products or services referred to in this disclosure is available from the relevant Group entity.

This disclosure should be read together with the terms and conditions and any regulatory, contractual and product-specific documentation applicable to the relevant financial product or service.